# SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 6-K

Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For the month of July 2017

Commission File Number 001-38068

# **Zymeworks Inc.**

(Translation of registrant's name into English)

Suite 540, 1385 West 8<sup>th</sup> Avenue, Vancouver, British Columbia, Canada, V6H 3V9 (Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F:	
Form 20-F ⊠ Form 40-F □	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): $\Box$	
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): $\Box$	

## EXHIBITS INCLUDED AS PART OF THIS REPORT

## Exhibit

99.1 Material Contract – First Amendment to Collaboration Agreement

## SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

ZYMEWORKS INC.

(Registrant)

/s/ Neil Klompas Neil Klompas Date: July 18, 2017 By:

Name:

Title: Chief Financial Officer CONFIDENTIAL TREATMENT REQUESTED UNDER RULE 24b-2 UNDER THE SECURITIES EXCHANGE ACT OF 1934, AS AMENDED. [... \*\*\*...] INDICATES OMITTED MATERIAL THAT IS THE SUBJECT OF A CONFIDENTIAL TREATMENT REQUEST FILED SEPARATELY WITH THE COMMISSION. THE OMITTED MATERIAL HAS BEEN FILED SEPARATELY WITH THE COMMISSION.

Execution Copy Confidential

# FIRST AMENDMENT TO COLLABORATION AGREEMENT

This First Amendment (the "Amendment") to the Agreement (as defined below), is entered into as of May 29, 2017, by and between **CELGENE CORPORATION**, a corporation organized and existing under the laws of Delaware, with its principal business office located at 86 Morris Avenue, Summit, NJ 07901, USA ("Celgene Corp."), CELGENE ALPINE INVESTMENT CO. LLC ("Celgene Alpine" and, together with Celgene Corp., "Celgene") and **ZYMEWORKS INC.**, a corporation organized and existing under the laws of Canada, and extra provincially in British Columbia, having an address at 540-1385 West 8th Avenue, Vancouver, BC, Canada V6H 3V9 ("Zymeworks"). Zymeworks and Celgene are each referred to individually as a "Party" and together as the "Parties".

#### **BACKGROUND**

- A. Celgene and Zymeworks entered into that certain **COLLABORATION AGREEMENT** (the "**Agreement**"), effective as of December 23, 2014, pursuant to which the Parties are conducting the Research Program (as defined in the Agreement) and Zymeworks granted certain licenses to Celgene under the Zymeworks Intellectual Property (as defined in the Agreement).
- B. Celgene and Zymeworks now desire to amend the Agreement as set forth herein.

**NOW THEREFORE**, in consideration of the mutual covenants and agreements contained herein below, the sufficiency of which is acknowledged by both Parties, the Parties agree as follows:

#### **AGREEMENT**

- 1. **Definitions.** Unless otherwise defined in this Amendment, initially capitalized terms used herein shall have the meanings given to them in the Agreement.
- 2. [...\*\*\*...]1. Section 1.26 of the Agreement is hereby deleted in its entirety and replaced with the following:

"1.26 "[...\*\*\*...]" means Celgene's [...\*\*\*...] with respect to the Zymeworks Platform for all Sequence Pairs that are [...\*\*\*...] to any given Collaboration Sequence Pair, as further described in

<sup>&</sup>lt;sup>1</sup> Competitive Information – Exclusivity Information.

 $<sup>^{2}</sup>$  Competitive Information – Exclusivity Information.

Section 3.4. For clarity,  $[...***...]^5$  will be examined only with respect to the  $[...***...]^6$  of the  $[...***...]^7$ , and only those  $[...***...]^8$  that are  $[...***...]^8$ \*\*\*...]<sup>9</sup> to both [...\*\*\*...]<sup>10</sup> examined individually shall be [...\*\*\*...]<sup>11</sup> to Celgene."

- **References to** [...\*\*\*...]<sup>12</sup>. All references in the Agreement to [...\*\*\*...]<sup>13</sup>are hereby replaced with references to [...\*\*\*...]<sup>14</sup>.
- Section 3.4. Collaboration Sequence Pairs. Definitions. The fourth sentence of Section 3.4 of the Agreement is hereby deleted in its entirety and replaced with the following:

"Each designated Sequence Pair shall then be subject to gatekeeping pursuant to Section 3.5 below, and if a designated Sequence Pair is available in accordance with such gatekeeping, and is further approved by the JRC, it then becomes a "Collaboration Sequence Pair," and Celgene shall have [... \*\*\*...]15with respect to such Collaboration Sequence Pair, meaning that Zymeworks will not (alone or in collaboration with a Third Party) apply the Zymeworks Platform to such Collaboration Sequence Pair or Sequence Pairs that are [...\*\*\*...]16 to both [...\*\*\*...]17, other than pursuant to the Research Program; provided that, for so long as a Collaboration Sequence Pair is the Confidential Information of Celgene, Zymeworks shall not, for the purpose of avoiding [...\*\*\*...]<sup>18</sup>, reverse engineer such Collaboration Sequence Pair, or otherwise start with such Collaboration Sequence Pair and [... \*\*\*...]<sup>19</sup> to create a Sequence Pair which is [...\*\*\*...]<sup>20</sup>. For clarity, the foregoing shall not limit Zymeworks' ability to apply the Zymeworks Platform (alone or in collaboration with a Third Party) to any Sequence Pair that is [...\*\*\*...]<sup>21</sup>, which Sequence Pair is generated and provided to it by a Third Party without access to the Collaboration Sequence Pair through Zymeworks."

No Other Modifications. Except as specifically set forth in this Amendment, the terms and conditions of the Amendment shall remain in full force and effect. No waiver of any obligation under this Amendment shall be effective unless it has been given in writing and signed by the Party giving such waiver. No

<sup>&</sup>lt;sup>3</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>4</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>5</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>6</sup> Competitive Information – Technical Information.

<sup>&</sup>lt;sup>7</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>8</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>9</sup> Competitive Information – Exclusivity Information.

 $<sup>^{\</sup>rm 10}$  Competitive Information – Exclusivity Information.

 $<sup>^{11}</sup>$  Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>12</sup> Competitive Information – Exclusivity Information.

 $<sup>^{13}</sup>$  Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>14</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>15</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>16</sup> Competitive Information – Exclusivity Information. <sup>17</sup> Competitive Information – Exclusivity Information.

 $<sup>\</sup>overset{\,\,{}_{\scriptstyle 18}}{}$  Competitive Information – Exclusivity Information. <sup>19</sup> Competitive Information – Technical Information.

<sup>&</sup>lt;sup>20</sup> Competitive Information – Exclusivity Information.

<sup>&</sup>lt;sup>21</sup> Competitive Information – Exclusivity Information.

provision of this Amendment may be amended or modified other than by a written document signed by authorized representatives of each Party.

6. <u>Miscellaneous</u>. This Amendment, together with the Agreement, sets forth the entire agreement and understanding of the Parties as to the subject matter hereof and supersedes all proposals, oral or written, and all other communications between the Parties with respect to such subject matter. This Amendment may be executed in two (2) or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. This Amendment shall be governed by and construed in accordance with the laws of the State of New York and the patent laws of the United States without reference to any rules of conflict of laws.

[Remainder of page left blank intentionally; signature page to follow.]

**IN WITNESS WHEREOF**, the Parties intending to be bound have caused this Amendment to be executed by their duly authorized representatives.

### ZYMEWORKS INC.

By: /s/ Ali Tehrani

Name: Ali Tehrani, Ph.D.

Title: President & Chief Executive Officer

### **CELGENE CORPORATION**

By: /s/ Michael Attar

Name: Michael Attar Title: Executive Director

### CELGENE ALPINE INVESTMENT CO. LLC

By: /s/ Kevin Mello

Name: Kevin Mello Title: Manager